

eSTATEMENT SERVICE FOR SECURITIES TRADING ACCOUNT ENROLLMENT FORM (FOR JOINT ACCOUNT HOLDER ONLY)

證券交易「電子結單」服務申請表格(只適用於聯名戶口持有人)

Date日期: _____

Please note:-

請注意:-

1. To enroll eStatement Service for Securities Trading Account, please complete this Enrollment Form. Enrollment processing time is around 3 – 5 working days.
登記證券交易「電子結單」服務，請填寫本申請表。登記所需的處理時間約為三至五個工作天。
2. Customer, who wishes to resume receiving paper statement(s), please complete the eStatement Service For Securities Trading Account Termination Form (For Joint Account Holder Only).
客戶如希望重新收取郵寄版本結單，請填寫證券交易「電子結單」服務終止表格(只適用於聯名戶口持有人)。
3. eStatement Services for Securities Trading Account is applicable to Online Banking customers only. For sole name account enrollment, please register via Online Banking.
證券交易「電子結單」服務只提供予網上銀行客戶。有關單名戶口之登記申請，請於「網上銀行」辦理。
4. Please submit the completed form to any of our branches.
請將填妥的表格交回本行任何一間分行辦理。
5. The form is only applicable to the joint account(s) under the same account title; for those account(s) under different title, please use a separate form.
本表格只適用於相同戶口持有人之聯名戶口，倘為不同戶口持有人之聯名戶口，需填寫另一份表格。

Request for eStatement Service for Securities Trading Account

申請證券交易「電子結單」服務

Securities (Cash/Margin) Trading Account Number :

證券(現金/孖展)交易戶口號碼:

- (1) _____
(2) _____
(3) _____

If you opt in the eStatement Service for Securities Trading Account, the Bank will send you an email notification via the contact information in the Bank's record when the eStatement is successfully posted on the Online Banking platform for access. Please keep your email address in the Bank's record updated to ensure you can receive such email notification. If you wish to amend your contact information to receive the notification, please logon the Bank's Online Banking Service to update your information.

如果閣下登記了證券交易「電子結單」服務，當「電子結單」成功發送到「網上銀行」後，本行將會發送電郵提示至閣下於本行登記之聯絡資料。請確保閣下於本行登記之聯絡資料為最新，以接收相關提示。如閣下希望更改指定之聯絡資料以接收由本行發出之提示，請登入本行「網上銀行」服務更改。

Confirmation and acknowledgement:-

確認及承認書:-

We (the joint account holders) confirm and acknowledge that the notification will be sent to our contact information in the Bank's record, and we confirm the contact information are accurate and agree to keep the contact information update. We agree to inform the Bank as soon as possible if there is any change of our contact information and update the information promptly.

我們(聯名戶口持有人)確認及承認相關提示將會發送到貴行記錄的聯絡資料，及確認貴行記錄的聯絡資料無誤並同意確保聯絡資料為最新。我們同意當聯絡資料有任何更改，會盡快通知貴行及更新有關資料。

We acknowledge and agree that the eStatement posted on the Online Banking shall be deemed to be provided by the Bank to, and duly served on and received by us.

我們確認及同意發送到「網上銀行」的「電子結單」須當作由貴行發出，並妥為送達給我們及已接收。

We confirm and acknowledge that we have received, read, understood and agreed the Investment eStatement Service Terms and Conditions (including the Risks Associated with eStatement Service mentioned herein) which are printed on this enrollment form.

我們確認及承認我們已接收、閱讀、明白及同意附印在此申請表格上的「投資電子結單服務條款和條件」(包括當中有關電子結單服務之風險部份)。

We agree that by enrolling eStatement Service for Securities Trading Account, all paper statement(s) to be issued to the joint account(s) of following account holders will be replaced by eStatement. We further agree that eStatement(s) will be provided to all of the account holder(s) and the eStatement(s) will be deemed to have been sent to each and all of the account holders accordingly.

我們同意申請使用證券交易「電子結單」服務後，所有下列聯名戶口持有人之郵寄結單均會改為以「電子結單」形式發出。我們同意接受附印在此申請表格上的「投資電子結單服務條款和條件」之約束，我們亦同意該「電子結單」將會提供予所有戶口持有人及該「電子結單」被視為等同於已發送予所有戶口持有人。

We confirm, acknowledge and agree to the above confirmation and acknowledgment.

我們確認、承認及同意上述確認及承認書。

If there is any inconsistency between the English and Chinese versions of this Enrollment Form, the English version shall prevail.

如本申請表之中、英文版本並不一致，請以英文版本為準。

Signing Section 簽署部分

All account holders should sign, confirm and agree to the above statements for this eStatement Service enrollment request, please sign in accordance with the specimen signature as signed in the Investment Account Opening Form.

所有戶口持有人均須簽署、確認及同意上述申請「電子結單」之條文，並請必須根據已於投資戶口申請表上之簽名式樣簽署此表格。

Joint Account Holder 聯名戶口持有人 (1)		
Full name in BLOCK LETTERS 姓名	Signature 簽署	
Identification Document Number: 身份證明文件號碼: _____	X	S.V.
Joint Account Holder 聯名戶口持有人 (2)		
Full name in BLOCK LETTERS 姓名	Signature 簽署	
Identification Document Number: 身份證明文件號碼: _____	X	S.V.
Joint Account Holder 聯名戶口持有人 (3)		
Full name in BLOCK LETTERS 姓名	Signature 簽署	
Identification Document Number: 身份證明文件號碼: _____	X	S.V.

FOR BANK USE ONLY		
For Branch		
Securities (Cash/Margin) Trading Account Number		
1. _____ 2. _____ 3. _____		
Remarks: Please ensure the status is active .		
Customer ID (CIF)	Checking items	
	Remarks: Please ensure the below items are checked (<input checked="" type="checkbox"/>)	
1. _____	<input type="checkbox"/> Email information are checked <input type="checkbox"/> OB Account is Active	
2. _____	<input type="checkbox"/> Email information are checked <input type="checkbox"/> OB Account is Active	
3. _____	<input type="checkbox"/> Email information are checked <input type="checkbox"/> OB Account is Active	
Date	Branch Code	Approved By
Card Center		
Date	Input By	Officer's Initial

China Construction Bank (Asia) Corporation Limited

Investment eStatement Service Terms & Conditions

Please note that the eStatement Service (as defined below) offered by China Construction Bank (Asia) Corporation Limited (the "**Bank**") is subject to the following eStatement Service Terms and Conditions, in addition to the "Terms and Conditions for Online Banking Services", "Terms and Conditions for Investment Services", "Risk Disclosure Statements for Investment Services", "Terms and Conditions in relation to Online Securities Trading Services" and any other terms and conditions related to online banking services or online securities trading services as agreed between you and the Bank from time to time (where applicable).

1. The Bank has your authority and instructions to make available through China Construction Bank (Asia) Online Banking (the "**Online Banking**") such securities trading statements (the "**eStatements**", each referred to as an "**eStatement**") issued or provided by the Bank from time to time relating to your specified investment account(s) in electronic form for your access or use (the "**eStatement Service**").
2. You acknowledge and agree that the Bank will maintain the eStatements on the Online Banking for your access or use for such period in accordance with all applicable laws, regulations and rules and as the Bank may consider appropriate from time to time.

Risks Associated with the eStatement Service

3. You understand and accept that the use of the eStatement Service will be subject to the principal risks highlighted in clauses 4 to 9 below.
4. You understand and accept that appropriate computer equipment and software, internet access and a specific email address provided and designated by you are required for using the eStatement Service, and it is your responsibility to ensure the same meet the minimum software / hardware requirements for using the eStatement Service. Your failure to meet these minimum requirements may result in your inability to receive eStatements from the Bank.
5. Although the Bank will use reasonable endeavours to ensure that the eStatements will be made available on the Online Banking in a timely fashion, there may be a time lag in transmission of data online. You understand and accept that the eStatement Service (including email notices) and Online Banking are subject to (and may suffer failure or delay in processing and/or transmitting orders, communications or information as a result of) certain information technology risks and disruption, including interruption, failure of hardware or software, errors, transmission blackout, delayed transmission due to online traffic or incorrect data transmission due to the public nature of the internet, market volume or volatility, system failure or upgrades or maintenance or for other reasons. Accordingly, there may be time lag between the date of issuance of an eStatement and the date on which the same is made available at the Online Banking.
6. You understand and accept that you may incur additional costs for using the eStatement Service. You may also be required to pay a reasonable charge for obtaining a copy of any eStatement that is no longer available for access and downloading through the Online Banking. You agree to bear, and authorize the Bank to debit from your settlement account or other account (if applicable) with the Bank for this purpose, any fees, charges or expenses incurred in connection with the use of the eStatement Service, including, without limitation, fees, charges or expenses which may be imposed by:
 - (a) the Bank, for obtaining a copy of any eStatement that is no longer available for access and downloading through the Online Banking; or
 - (b) any service provider or telecommunications company (whether or not designated by the Bank), for providing or servicing your telecommunications equipment in connection with the eStatement Service.You agree to maintain sufficient available funds and/or credit in your settlement account or other account (if applicable) with the Bank to cover such accrued fees, charges or expenses.
7. You understand and accept that email (and any other channel(s) specified by the Bank) will be the only notice issued by the Bank to inform you that eStatements have been posted and are available through the Online Banking. You agree that all such notice sent by the Bank by email to your designated email address (as you inform to the Bank from time to time) shall be deemed duly issued, served and received by you. You further agree that the eStatements posted on the Online Banking shall be deemed duly provided to you. You understand that you are responsible for checking and should check your designated email address regularly for the notices. You are also responsible for accessing and should access to the eStatements through the Online Banking after any transaction conducted through the Bank. The Bank will not assume any liability or responsibility for any delay of notification or non-notification, or inability on your part to receive such notification (whether or not due to your failure to provide a valid email address). You must notify the Bank immediately (*via* such means and in such form and manner as shall be acceptable to the Bank) should you fail to receive, or if there is any delay in or other irregularities or problems relating to the receipt of the notification or in connection with the eStatements.
8. You understand and accept that revocation of consent to the provision of the eStatement Service ("**opting out**") will be subject to your giving of advance notice of opting out as the Bank may reasonably require. Upon opting out, all historical eStatements posted through the Online Banking may be removed upon the Bank ceasing to provide the eStatement Service to you. You are therefore advised to keep proper copies of eStatements before giving notice of opting out to the Bank. You acknowledge that the eStatement Service is provided through the Online Banking and will be terminated immediately in the

event that your Online Banking services are terminated and/or the specified investment account registered with the eStatement Service has been closed.

9. You confirm that you have assessed and analyzed and therefore understand, acknowledge and accept the possible risks involved in using the eStatement Service, including, without limitation, the eStatements being intercepted, monitored, amended, tampered with or being sent or disclosed to other parties without your authorization. You agree that the Bank will not be liable for any disclosure of confidential information not herein authorized where you have not complied with these eStatement Service Terms and Conditions or such other security guidelines or recommendations that the Bank may issue from time to time.

Other Terms of the eStatement Service

10. You must notify the Bank as soon as practicable upon any change in your designated email address for receiving notice of posting of eStatements from the Bank. Your failure to notify the Bank of any change in your designated email address will result in your inability to receive notice from the Bank that eStatements have been posted and are available through the Online Banking.
11. You should never provide your account or personal information on screen following a website hyperlink from any electronic mail. All website hyperlinks authorized by the Bank will be for information only and will not require the inputting of your account or personal information. You agree and undertake not to hold the Bank liable or in any way responsible for any loss, damages or expenses that you shall incur, including, without limitation, any loss or damage caused to your data, software, computer, telecommunications equipment or other equipment in connection with your use of the eStatement Service unless they are caused solely and directly by the gross negligence or wilful default of the Bank.
12. You agree to promptly examine the eStatements upon receiving notice from the Bank that the eStatements are available for viewing through the Online Banking, to ensure that any errors are detected and reported to the Bank as soon as practicable. You shall be deemed to have agreed to waive any rights to raise objections or pursue any remedies against the Bank in respect thereof unless you notify the Bank in writing of any errors, discrepancies, unauthorized debits or other transactions or entries arising from whatever cause, including, but without limitation, forgery, forged signature, fraud, lack of authority or negligence on the part of the Bank or of any other person within 90 days of the date of issuance of the relevant eStatement.
13. You are advised to save an electronic copy of the eStatements on your own computer storage and/or keep printouts/copies of the eStatements for your own record and future reference.
14. You understand and acknowledge that replying or responding to email notification of eStatements is not a valid means of communication with the Bank, and the Bank is under no duty or responsibility to respond to, take or refrain from taking any action(s) whatsoever in relation any such attempted communication.
15. If you have successfully registered for the eStatement Service, you understand and acknowledge that upon such successful registration and without notice by the Bank, the Bank will stop sending physical/hard copies of the eStatements to you.
16. For joint account holders who have successfully registered for the eStatement Service, the Bank is authorized to provide the eStatements to joint account holder(s). Upon successful registration for the eStatement Service by all joint account holders, all joint account holders will be able to view the eStatement via their own Online Banking accounts.
17. The Bank shall not be in any way liable or responsible for any loss or expenses arising out of or in connection with the unavailability of your access to the eStatements or the loss of the eStatements.
18. The Bank reserves the right to determine and specify the scope and features of the eStatement Service, and is entitled to modify, expand or reduce the same at its sole discretion from time to time, with or without notice to you. You acknowledge and agree that the Bank may vary or suspend the eStatement Service at any time and in such manner as the Bank may prescribe from time to time without prior notice to you.
19. The Bank may at any time and at its discretion terminate the eStatement Service, and shall have no liability whatsoever to you for doing so. The Bank shall give you prompt notice of any such termination of the eStatement Service.
20. The Bank shall have the sole and absolute discretion to determine whether or not to accept any application for the eStatement Service. The Bank shall not be obliged to give any reason for any refusal or rejection to accept any such application.
21. If there is any inconsistency between the eStatement Service Terms and Conditions set out above, "Terms and Conditions for Online Banking Services", "Terms and Conditions for Investment Services", "Risk Disclosure Statements for Investment Services", "Terms and Conditions in relation to Online Securities Trading Services" and any other terms and conditions related to online banking services or online securities trading services as agreed between you and the Bank from time to time, the eStatement Service Terms and Conditions as set out above shall prevail in respect of the eStatement Service. If there is any discrepancy between the English version and Chinese version, the English version shall prevail.

中國建設銀行（亞洲）股份有限公司

投資電子結單服務條款和條件

請注意，中國建設銀行（亞洲）股份有限公司（“本行”）提供的電子結單服務（在下文定義），除受“網上銀行服務的條款及條件”、“投資服務之條款和條件”、“投資服務之風險披露聲明”、“網上證券交易服務一般條款及條件”以及閣下與本行不時約定的任何其他有關網上銀行服務或網上證券買賣服務的條款和條件的約束外（如適用），須受以下述電子結單服務條款與條件的約束。

1. 本行獲得閣下授權和指示，可通過本行之網上銀行服務（“網上銀行”）不時以電子形式就閣下的指定投資賬戶發出或提供的證券買賣結單（“電子結單”）以供閣下取覽或使用（“電子結單服務”）。
2. 閣下確認並同意，本行將在符合一切適用法律、法規和規則且本行不時認為適當的期間內在網上銀行保存電子結單，供閣下取覽或使用。

電子結單服務的相關風險

3. 閣下理解並接受使用電子結單服務將須注意下文第4至9條所概述的主要相關風險。
4. 閣下理解並接受，使用電子結單服務需要有適當的電腦設備和軟件、互聯網連線及閣下提供並指定的特定電郵地址，而且閣下有責任確保上述各項達到使用電子結單服務的最低軟件/硬件要求。倘閣下未達到有關之最低要求可能導致閣下無法從本行取覽電子結單。
5. 儘管本行會合理地盡力確保電子結單可及時讓閣下於網上銀行查閱，但網上數據傳遞可能令有關之上載延誤。閣下應理解並接受有關電子結單服務（包括電子郵件通知）和網上銀行服務，可能遭受到某些資訊技術風險和故障（並可能因此導致指令、通訊和資訊無法或延遲得到處理和/或傳輸的情況），包括暫停、硬件或軟件故障、錯誤、傳輸中斷、互聯網線路繁忙導致的傳遞阻塞、或者因互聯網的公眾性質導致的資料傳輸錯誤、市場交易量或波動、系統故障、升級或維護及其他原因。因此，電子結單之發出日期與電子結單可於網上銀行以供取覽的日期之間可能有延遲。
6. 閣下理解並接受，閣下可能會因使用電子結單服務而產生額外費用。閣下如索取已不再可通過網上銀行取覽和下載的任何電子結單的副本，則可能需要支付合理的費用。閣下同意承擔並授權本行為此目的從閣下於本行開立的結算賬戶或其他賬戶(如適用)中扣除就使用電子結單服務產生的任何費用、收費和支出，包括但不限於下述被收取的費用、收費和支出：
 - (a) 就閣下向本行索取已不再可通過網上銀行取覽和下載的任何電子結單的副本而收取的費用；或
 - (b) 任何服務提供者或電訊公司（不論是否由本行指定），就與有關電子結單服務提供電訊設備或有關服務予閣下，而收取的任何費用。閣下同意在閣下於本行有關結算賬戶或其他賬戶(如適用)中維持足夠且可動用的資金和/或入賬於有關賬戶，以備付該等應繳付之相關費用、收費和支出。
7. 閣下理解並接受，電子郵件（及由本行訂明的其他渠道）是本行通知閣下電子結單已上載並可通過網上銀行取覽時發出的唯一通知。閣下同意，本行通過電子郵件發送至閣下指定的電郵地址（閣下不時通知本行的電郵地址）的所有通知，應視為已正式發出、送達並由閣下收妥。閣下亦同意電子結單發佈在網上銀行後即視為已正式提供給閣下。閣下理解，閣下有責任並應定期查看閣下指定的電郵地址，以確認是否有通知。在通過本行辦理任何交易和事務後，閣下有責任並應通過網上銀行取覽電子結單。對於任何通知延遲、未通知或閣下無法收到該等通知（無論是否由於閣下未提供有效電郵地址所致），本行概不承擔任何責任。閣下如果未能收到通知，或在接收通知或電子結單方面有任何延遲或其他不正常之處或問題，閣下應立即（通過本行可接受的途徑、形式和方式）通知本行。
8. 閣下理解並接受，要撤銷對提供電子結單服務的同意（“終止服務”），閣下需向本行發出(本行認為合理要求的)事先終止服務通知。終止服務後，通過網上銀行上傳的所有過往電子結單，可能將於本行停止向閣下提供電子結單服務時被移除。因此，建議閣下在向本行發出終止服務通知前，適當地留存電子結單的副本。閣下承認，電子結單服務是通過網上銀行提供的，因此，如果閣下的網上銀行服務被終止及/或者就電子結單服務登記的特定投資賬戶已被關閉，則電子結單服務將被立即終止。
9. 閣下確認經評估及分析後，閣下已了解、承認並接受使用電子結單服務可能涉及的一切風險，包括但不限於電子結單未經閣下授權被攔截、監視、修改、竄改、發送或披露給其他方。閣下同意，若閣下未遵守本電子結單服務條款與條件或本行可能不時發佈的其他安全準則或建議，則對於本條款與條件中未授權的任何披露保密資訊的行為，本行概不負責。

電子結單服務的其他條款

10. 閣下指定用於接收本行上傳電子結單通知的電郵地址如有任何變動，閣下必須在實際可行的情況下儘快通知本行。閣下未將指定電郵地址的任何變更通知本行，將導致閣下無法從本行收到電子結單已上載並可通過網上銀行閱覽的通知。
11. 閣下切勿透過任何電子通訊點擊所載的網站超連結，在屏幕上提供閣下的賬戶或個人資料。本行授權的所有網站超連結只提供資料，並不會要求閣下輸入賬戶或個人資料。閣下同意並承諾就閣下使用本服務而招致的任何損失、損害或開支，包括但不限於對閣下的資料、軟件、電腦、電訊設備或其他設備造成的任何損失或損害，閣下不會要求本行負責或承擔任何責任，除非此等損失、損害或開支乃完全並直接由本行的嚴重疏忽或蓄意違責所造成。
12. 閣下同意，在收到本行發出的可於網上銀行閱覽電子結單通知時，應儘快審核電子結單，以確保在實際可行的情況下辨認錯誤並將任何錯誤儘快通知本行。除非閣下在相關電子結單發出後90 天內，向本行書面通知因任何原因引起的任何錯誤、不一致、未經授權的借記或其他交易或登錄專案，包括但不限於本行或任何其他人的造假、偽造簽名、欺詐、未經授權或疏忽行為，否則閣下將被視為閣下已同意放棄任何就有關事宜向本行提出反對或追討賠償的權利。
13. 建議閣下於自己的電腦存儲中保存電子結單的電子版本，並且/或者保存電子結單的列印件/副本，以便閣下自己留檔和未來參考。
14. 閣下理解並承認，答覆或回覆電子結單的電子郵件通知並非與本行進行溝通的有效方式，本行沒有任何責任或義務就任何該等試圖進行的溝通行為給予答覆或者採取或不採取任何行動。
15. 如果閣下已成功註冊電子結單服務，閣下理解並承認，自該等成功註冊之時，本行不經另行通知，即停止向閣下發送任何列印本形式的結單。
16. 對於已成功註冊電子結單服務的聯名戶口持有人，本行已獲授權向聯名戶口持有人提供電子結單。所有聯名戶口持有人成功註冊電子結單服務時，其即能通過其網上銀行賬戶閱覽電子結單。
17. 本行並無需就閣下之無法取覽電子結單或電子結單的遺失所引致的或與其有關的任何損失或費用負責或承擔任何責任。
18. 本行保留確定並具體規定電子結單服務範圍和特點的權利，並不時全權酌情決定經通知或不經通知閣下地修改、擴大或減少該等範圍和特點。閣下承認並同意，本行可不經事先通知閣下即隨時以本行可能不時規定的方式變更或暫停電子結單服務。
19. 本行可隨時全權酌情終止電子結單服務，亦不會因此對閣下承擔任何責任。本行會將電子結單服務的任何終止儘快通知閣下。
20. 本行對於是否接受任何電子結單服務申請擁有絕對的自主決定權。本行無義務為任何拒絕接受或退回任何該等申請的行為給出任何理由。
21. 如上文所載的電子結單服務條款和條件與“網上銀行服務的條款及條件”、“投資服務之條款和條件”、“投資服務之風險披露聲明”、“網上證券交易服務一般條款及條件”、閣下與本行不時約定的任何其他有關網上銀行服務或網上證券買賣服務的條款和條件之間有任何抵觸或歧異之處，則就電子結單服務而言，應以上文所載的電子結單服務條款與條件為準。如英文版本和中文版本有任何差異，則以英文版本為準。